



APPLICATION NO. 10/772,597

INVENTION: Decisioning rules for turbo and convolutional decoding

INVENTORS: Urbain A. von der Embse

Currently amended CLAIMS

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## CLAIMS

WHAT IS CLAIMED IS:

10        Claim 1. (currently amended) A means for the new turbo  
decoding a-posteriori probability  $p(s, s' | y)$  in equations (13) of  
the invention disclosure of the decoder trellis states  $s', s$  for  
the received codeword  $k-1, k$  conditioned on the received symbol  
set  $y = \{y(1), y(2), \dots, y(k-1), y(k), \dots, y(N)\}$  for defining the  
15        maximum a-posteriori probability MAP in turbo decoding and which  
comprises:

using a new statistical definition of the MAP logarithm

likelihood ratio  $L(d(k) | y)$  in equations (18)

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$$L(d(k) | y) = \ln[ \sum_{(s, s' | d(k)=+1)} p(s, s' | y) ]$$
  
$$- \ln[ \sum_{(s, s' | d(k)=-1)} p(s, s' | y) ]$$

equal to the natural logarithm of the ratio of the a-  
posteriori probability  $p(s, s' | y)$  summed over all state  
25        transitions  $s' \rightarrow s$  corresponding to the transmitted data  
 $d(k)=1$  to the  $p(s, s' | y)$  summed over all state transitions  
 $s' \rightarrow s$  corresponding to the transmitted data  $d(k)=0$ ,

using a factorization of the a-posteriori  $p(s, s' | y)$  into the  
product of the a-posteriori probabilities  $p(s' | y(j < k))$ ,  
30         $p(s | s', y(k))$ ,  $p(s | y(j > k))$

$$p(s, s' | y) = p(s | s', y(k)) p(s | y(j > k)) p(s' | y(j < k)),$$

using a turbo decoding forward recursion equation for evaluating said a-posteriori probability  $p(s'|y(j < k))$  using said  $p(s|s', y(k))$  as the state transition a-posteriori probability of the trellis

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$$p(s|y(j < k), y(k)) = \sum_{\text{all } s'} p(s|s', y(k)) p(s'|y(j < k))$$

transition path  $s' \rightarrow s$  to the new state  $s$  at  $k$  from the previous state  $s'$  at  $k-1$  and given the observed symbol  $y(k)$  to update these recursions for the assumed value of  $d(k)$  equivalent to the transmitted symbol  $x(k)$  which is the modulated symbol corresponding to  $d(k)$ ,

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using a turbo decoding backward recursion equation for evaluating said a-posteriori probability  $p(s|y(j > k))$  using said  $p(s'|s, y(k))$  as the state transition a-posteriori

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$$p(s'|y(j > k-1)) = \sum_{\text{all } s} p(s|y(j > k)) p(s'|s, y(k))$$

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probability of the trellis transition path  $s \rightarrow s'$  to the new state  $s'$  at  $k-1$  from the previous state  $s$  at  $k$  and given said observed symbol  $y(k)$  to update these recursions for said assumed value of  $d(k)$  equivalent to said transmitted symbol  $x(k)$  which is the modulated symbol corresponding to said  $d(k)$  and where said  $p(s'|s, y(k)) = p(s|s', y(k))$ ,

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evaluating the natural logarithm of the state transition a-posteriori probability  $p(s|s', y(k)) = p(s'|s, y(k))$  as a function which is linear in the received symbol  $y(k)$ .

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$$\ln[p(s|s', y(k))] = \text{Re}[y(k)x^*(k)]/\sigma^2 - |x(k)|^2/2\sigma^2 + p(d(k))$$

and wherein  $\ln$  is the natural logarithm  $\ln$  of  $p$ ,  $x^*$  is the complex conjugate of  $x$ , and  $\ln[o]$  is the natural logarithm of  $[o]$ ,

evaluating said natural logarithm of said state transition a-

posteriori probability  $p(s'|s, y(k)) = p(s|s', y(k))$  equal to the new decisioning metric DX in equations (11), (16).

$$\ln[p(s|s', y(k))] = \ln[p(s'|s, y(k))]$$

$$\begin{aligned} &= \text{Re}[y(k)x^*(k)]/\sigma^2 + |x(k)|^2/2\sigma^2 + \underline{p}(d(k)) \\ &= DX \end{aligned}$$

and which is linear in said received symbol  $y(k)$ ,  
said new state transition probabilities in said MAP equations use  
said DX linear in  $y(k)$  in place of the current use of the  
maximum likelihood decisioning metric DM

$$DM = [ -|y(k) - x(k)|^2/2\sigma^2 ],$$

which is a quadratic function of  $y(k)$ ,  
said MAP turbo decoding algorithms realizes some of the  
performance improvements demonstrated in FIG. 5,6 using  
said DX and,  
said new a-posteriori mathematical framework enables said MAP  
turbo decoding algorithms to be restructured and to  
determine the intrinsic information as a function of said  
DX linear in said  $y(k)$ .

Claim 2. (currently amended) \_ Wherein in claim 1, a means  
for said new convolutional decoding in said MAP a-posteriori  
probability  $p(s, s'|y)$  and which comprises:  
using a new maximum a-posteriori principle which maximizes the  
a-posteriori probability  $p(x|y)$  of the transmitted symbol  
 $x$  given the received symbol  $y$  to replace the current  
maximum likelihood principle which maximizes the likelihood  
probability  $p(y|x)$  of  $y$  given  $x$  for deriving the forward  
and the backward recursive equations to implement  
convolutional decoding,

using said factorization of said a-posteriori  $p(s, s' | y)$  into the product of said a-posteriori probabilities  $p(s' | y(j < k))$ ,  $p(s | s', y(k))$ ,  $p(s | y(j > k))$  to identify the convolutional decoding forward state metric  $a_{k-1}(s')$ , backward state metric  $b_k(s)$ , and state transition metric  $p_k(s | s')$  as the a-posteriori probability factors

$$\begin{aligned} p_k(s | s') &= p(s | s', y(k)) \\ b_k(s) &= p(s | y(j > k)) \\ a_{k-1}(s') &= p(s' | y(j < k)), \end{aligned}$$

using a convolutional decoding forward recursion equation for evaluating said a-posteriori probability  $a_k(s) = p(s | y(j < k), y(k))$  using said  $p_k(s | s') = p(s | s', y(k))$  as said state transition probability of the trellis transition path  $s' \rightarrow s$  to the new state  $s$  at  $k$  from the previous state  $s'$  at  $k-1$ ,

using a convolutional decoding backward recursion equation for evaluating said a-posteriori probability  $b_k(s) = p(s | y(j > k))$  using said  $p_k(s' | s) = p(s' | s, y(k))$  as said state transition probability of the trellis transition path  $s \rightarrow s'$  to the new state  $s'$  at  $k-1$  from the previous state  $s$  at  $k$ , evaluating the natural logarithm of said state transition a-posteriori probabilities  $\ln[p_k(s' | s)] = \ln[p(s' | s, y(k))] = \ln[p(s | s', y(k))] = \ln[p_k(s | s')]$  equal to said DX and,

said convolutional decoding algorithms realize some of the performance improvements demonstrated in FIG. 5,6 using said DX.

Claim 3. (currently amended) Wherein in claim 1 A means for the new convolutional decoding recursive equations which

calculate said MAP a-posteriori probability  $p(s, s' | y)$  and which comprises:

said forward recursion equation for evaluating said natural log,  $\underline{a}_k$ , of  $a_k$  using said  $\underline{p}_k = \ln[p(s | s', y(k))]$  as the natural logarithm said state transition a-posteriori probability of the trellis transition path  $s' \rightarrow s$  to the new state  $s$  at  $k$  from the previous state  $s'$  at  $k-1$  and is

$$\begin{aligned} \underline{a}_k(s) &= \max_{s'} [\underline{a}_{k-1}(s') + \underline{p}_k(s | s')] \\ &= \max_{s'} [\underline{a}_{k-1}(s') + DX(s | s')] \\ &= \max_{s'} [\underline{a}_{k-1}(s') + \text{Re}[y(k)x^*(k)]/\sigma^2 - |x(k)|^2/2\sigma^2 + \underline{p}(d(k))] \end{aligned}$$

wherein said  $DX(s | s') = \underline{p}_k(s | s') = \underline{p}_k(s' | s) = DX(s' | s) = DX$  is said new decisioning metric,

said backward recursion equation for evaluating said  $\underline{b}_k$  using said  $\underline{p}_k = \ln[p(s' | s, y(k))] = \ln[p(s | s', y(k))]$  as the natural logarithm of said state transition a-posteriori probability of the trellis transition path  $s \rightarrow s'$  to the new state  $s'$  at  $k-1$  and is

$$\underline{b}_{k-1}(s') = \max_s [\underline{b}_k(s) + DX(s' | s)] \text{ and,}$$

said decoding algorithms realize some of the performance improvements demonstrated in FIG. 5, 6 using said  $DX$ .

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                     decoding

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## CROSS-REFERENCE TO RELATED APPLICATIONS

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